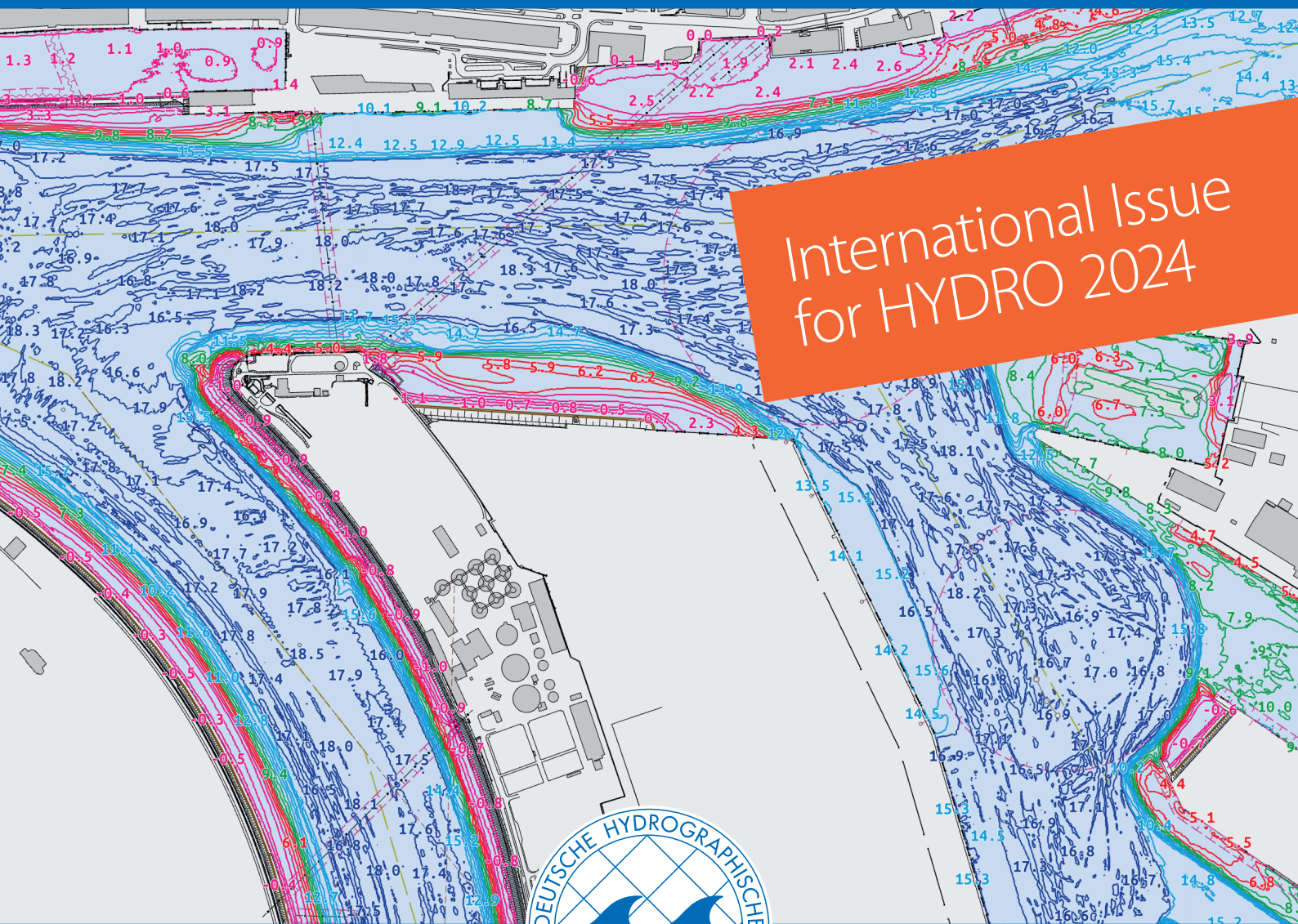


# Journal of Applied Hydrography

HYDROGRAPHISCHE NACHRICHTEN

11/2024

HN 129



International Issue  
for HYDRO 2024



# Transfer of autonomous mapping concepts to a small uncrewed surface vehicle

An article by TOM SCHMIDT, JAN WITTE, UWE LICHTENSTEIN, ANGELIKA ZUBE and PHILIPP WOOCK

Approximately 70 % of the Earth's surface is comprised of water, yet our understanding of its rivers, lakes and especially its oceans remain surprisingly limited. By employing state-of-the-art mapping technologies, uncrewed vessels can efficiently survey underwater terrain and gather valuable data, thereby reducing operational time and costs significantly. This paper examines the adaptation of autonomous mapping principles to a compact, uncrewed autonomous surface vessel (ASV), demonstrating practical applications for aquatic data gathering. Particular emphasis is placed on the bathymetry data obtained through the use of the ASV, exemplifying its potential to offer precise underwater terrain maps, which are indispensable for comprehensive environmental monitoring, authorities and scientific research. The results demonstrate successful multi-domain mapping of various inland water environments, including harbours and lakes, using a compact ASV equipped with algorithms from Fraunhofer IOSB's Autonomy Toolbox (ATB). Additionally, the study achieved effective sensor fusion of geo-referenced LiDAR, sonar and camera data, providing a comprehensive hydrographic dataset for detailed environmental analysis. We find that autonomy functions of ASVs are already suitable for practical use; however, manual verification cannot be entirely eliminated yet.

autonomy | mapping | obstacle | sonar | autonomous surface vessel – ASV  
Autonomie | Kartierung | Hindernis | Sonar | autonomes Überwasserfahrzeug

Etwa 70 % der Erdoberfläche bestehen aus Wasser, doch unser Wissen über die Flüsse, Seen und insbesondere die Ozeane ist erstaunlich begrenzt. Durch den Einsatz modernster Kartierungstechnologien können unbemannte Schiffe das Unterwassergelände effizient vermessen und wertvolle Daten sammeln, wodurch sich die Betriebszeit und -kosten erheblich verringern. In diesem Beitrag wird die Anpassung der Prinzipien der autonomen Kartierung an ein kompaktes, unbemanntes Oberflächenfahrzeug (USV) untersucht, um praktische Anwendungen für die Datenerfassung unter Wasser zu erläutern. Besonderes Augenmerk liegt dabei auf den Bathymetriedaten, die durch den Einsatz des ASV gewonnen werden, um das Potenzial für präzise Unter-Wasser-Geländekarten zu verdeutlichen, die für eine umfassende Umweltüberwachung, für Behörden und die wissenschaftliche Forschung unerlässlich sind. Die Ergebnisse zeigen, dass ein kompaktes ASV, das mit Algorithmen aus der Autonomy Toolbox (ATB) des Fraunhofer IOSB ausgestattet ist, erfolgreich eine Multidomänenkartierung verschiedener Binnengewässer, einschließlich Häfen und Seen, durchführt. Darüber hinaus wurde in der Studie eine effektive Sensorfusion von geo-referenzierten LiDAR-, Sonar- und Kameradaten erreicht, die einen umfassenden hydrographischen Datensatz für detaillierte Umweltanalysen liefert. Wir stellen fest, dass die Autonomiefunktionen von ASVs bereits für den praktischen Einsatz geeignet sind; die manuelle Verifizierung kann jedoch noch nicht vollständig eliminiert werden.

## Authors

Tom Schmidt and Jan Witte work at Fraunhofer IOSB in Rostock. Angelika Zube and Philipp Woock work at Fraunhofer IOSB in Karlsruhe. Uwe Lichtenstein works at Fraunhofer IGD in Rostock.

[tom.schmidt@iosb.fraunhofer.de](mailto:tom.schmidt@iosb.fraunhofer.de)

## 1 Introduction

Autonomous surface vessels (ASVs) are increasingly crucial for marine operations, particularly for tasks such as bathymetric surveying, environmental monitoring and inspection of underwater infrastructures. These unmanned platforms have evolved significantly over the past decades, driven by advancements in autonomous navigation, guidance, control systems and sensor integration. ASVs offer a safer, more cost-effective and more

versatile solution for mapping and data acquisition in a variety of marine environments, ranging from coastal zones to open seas, as well as lakes and rivers.

## State of the art

The development of ASVs has been marked by progressive technological innovations since their inception. An early comprehensive overview of ASV technologies up to 2008 highlights the initial

development phases, focusing on the diversification of design and propulsion systems, as well as the incorporation of basic navigational and control capabilities (Manley 2008). By 2017, the landscape had expanded significantly, with at least 60 different ASV platforms documented, each tailored for specific applications in marine science and engineering (Schiaretti et al. 2017).

The core components of an ASV include its navigation, guidance and control systems, which are fundamental to its autonomous capabilities. Alves et al. (2006) provided a detailed exploration of these systems, emphasising the integration of sensors and algorithms for real-time decision-making and environmental adaptation.

Numerous examples demonstrate the wide-ranging applications of ASVs in marine environments. During the early stages, ASVs were mainly developed as low-cost options for tackling the challenge of hydrographic data collection and are quite diverse in their capabilities and sensor equipment. These vehicles were deployed in inaccessible shallow-water areas (Beck et al. 2008; Ferreira et al. 2009; Odetti et al. 2019) for measuring parameters for water quality (Dunbabin et al. 2009; Ferri et al. 2015), in hostile environments (Bertram et al. 2016), for geographical surveys (Stanghellini et al. 2020) and for unimpeded sensor measurements accomplished by aerial propulsion (da Silva et al. 2021; Regina et al. 2021).

Critical to ASVs used for ocean mapping or environmental monitoring is the ability to provide robust navigation with an intelligent path planning algorithm and obstacle avoidance to navigate in complex and dynamic environments. Karapetyan et al. (2019) provided a dynamic control framework for adaptive survey operations, while Clunie et al. (2021) developed software for maritime object detection and tracking. To ensure real-time obstacle avoidance, Campos et al. (2019) proposed an algorithm for navigating challenging scenarios. Dalpe et al. (2018) enhanced route planning using Potential Field Methods (PFM) and A\* algorithms, and Jeong et al. (2018) introduced adaptive route planning that utilises real-time data, enabling ASVs to adjust their paths dynamically.

The mapping capabilities of ASVs have evolved significantly with advances in sensor integration and data acquisition. Early approaches for mapping the environment above the waterline, had a single omni-directional camera for shoreline mapping (Subramanian et al. 2006). Enhancing the perception capabilities of ASVs was the incorporation of LiDAR sensors for detection of the environment, inspection of surrounding structures or to automate docking to improve navigation precision (Pereira et al. 2021). Below the surface, the addition of multibeam echo sounders (MBES) enabled detailed harbour surveys (Iwen et al. 2019) and terrain-based

navigation with accurate bathymetric data (Jung et al. 2019). Recent developments, like the SENSE ASV for inspecting maritime infrastructures (Campos et al. 2021) and the Nautilus ASV for offshore operation and maintenance tasks (Campos et al. 2024), have expanded ASV use to complex scenarios like inspecting offshore wind structures. The integration of multi-modal sensors now allows comprehensive mapping of offshore structures and the seafloor (Jung et al. 2023), reflecting a trend toward more sophisticated and adaptable ASV technologies.

Our approach extends the work of Zube et al. (2022) that presented the predecessor of the Otter ASV with a similar sensor setup, an improved processing pipeline and enhanced mapping capabilities. This work builds on the previously developed algorithms and the sensor configuration described in Kleiser et al. (2020) which uses the advantages of a ROS-based ASV architecture. Usage of ROS (robot operating system) for such a task was suggested by Barbier et al. (2018). The integration onto a more compact ASV platform and the robust real-world measurement and mapping stability of the platform shown by conducting surveys in practical scenarios are the key improvements illustrated in this paper.

### 3 Technical details of the autonomous vehicle

Several factors were taken into consideration when selecting the carrier platform. In comparison to the Fraunhofer IOSB's preceding test vehicle, the Water Strider from Zube et al. (2022), the system's open software architecture should allow for the integration of additional sensor hardware and the use of the IOSB Autonomy Toolbox (ATB) to generate comprehensive environmental representations both above and below the water surface. The previous platform's setup and dismantling times should be reduced through a more compact form factor and easier handling. We therefore chose Maritime Robotics' Otter as a base platform (Fig. 1).



Fig. 1: The Otter during its latest deployment in the »Rostocker Fracht- und Fischereihafen«

### 3.1 Otter platform

The Otter Pro (Fig. 1) is an unmanned surface vehicle designed for a range of maritime applications. The platform measures 2 m in length and 1.08 m in width, with a dry weight of 62 kg. It allows payloads up to 30 kg. The vehicle is powered by two electric motors, enabling it to reach a maximum speed of 6 knots in the absence of sensors. With a Norbit iWBMS multibeam sonar (MBES) and four 915 Wh lithium-ion batteries, the vehicle is rated for up to 20 hours of operation at a speed of 2 knots.

The Otter Pro is supplied with its own vehicle control station (VCS) software for use with a dedicated PC. The system enables the user to plan simple patterns and to collect bathymetric data. The vessel is equipped with a camera and an automatic identification system (AIS) Class B, allowing for the monitoring of the surrounding environment.

The Otter is designed to accommodate a multitude of sensors, including MBES or single-beam echo sounders for bathymetric surveys.

The vessel has been designed to operate effectively in a variety of marine environments, including coastal, inland and offshore locations. It is rated for sea conditions up to Sea State 2 (waves up to 0.5 m).

### 3.2 Communication system

The Otter is equipped with many communication interfaces, encompassing RF, satellite communications, 4G and WiFi data transmission. Its WiFi range in the field tests covered distances up to 500 m.

The communication system enables the operator to inspect the vessel's status and trajectory

while simultaneously displaying information received via AIS, thereby facilitating intervention in situations of reduced situational awareness at any time. Furthermore, the system enables the operator to view a low-resolution camera image and preview the results of bathymetric measurements.

### 3.3 Sensors

In comparison to the Water Strider from Zube et al. (2022), modifications have been implemented with regard to the sensor configuration (Fig. 2).

#### Cameras

In comparison to the Water Strider platform, which was equipped with two full HD Sony SCNEB643R IP cameras, the Otter has been enhanced with the addition of two GigE Vision Sony IMX304 cameras, which offer a resolution of  $4096 \times 3000$  pixels. These cameras are housed in a waterproof enclosure.

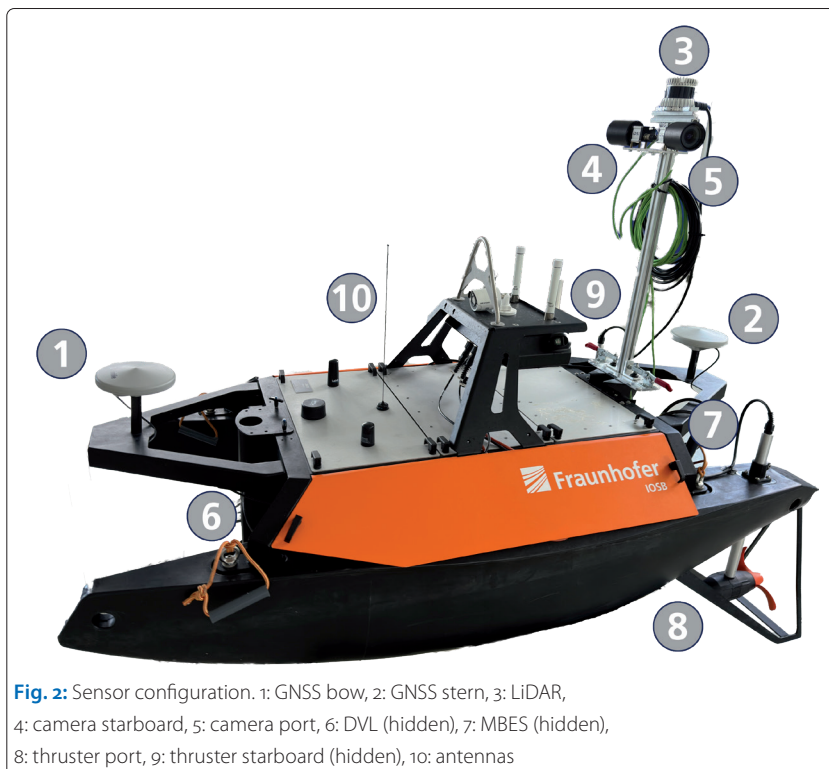
Both cameras are mounted on a custom-built mast, with one facing starboard and the other facing port. Additionally, the cameras are rotated slightly forward to enhance the overlap between two consecutive images as the boat progresses in a forward direction. The cameras are equipped with a 1.1" CMOS sensor and a Fujinon CF08ZA-1S lens with a focal length of 8 mm, which provides a field of view (FOV) of  $85.7^\circ$  horizontally and  $67.5^\circ$  vertically.

#### LiDAR

The LiDAR Ouster OS1 installed on the Otter is a further improvement compared to the Velodyne VLP-16 on the Water Strider. The LiDAR is also affixed to the mast in a horizontal position at its tip. The OS1 is capable of online overwater perception with a maximum range of about 200 m, a vertical aperture angle of  $42.4^\circ \pm 1.0^\circ$  ( $+21.2^\circ$  to  $-21.2^\circ$ ), and a horizontal aperture angle of  $360^\circ$ . The angular sampling accuracy is  $\pm 0.01^\circ$  in both the vertical and horizontal planes. The OS1 used has a vertical resolution of 64 channels, which are arranged in a fan shape and rotate at 10 Hz. The primary function of the LiDAR is to perform obstacle detection, whereby an online map of the water surface is created and, if applicable, areas in close proximity to the banks are also identified. Further details may be found in the work of Kleiser et al. (2017).

#### Sonar

The Otter is equipped with a Norbit iWBMS multibeam echo sounder, capable of providing roll-stabilised bathymetric and backscatter data with up to 512 beams at depths from 0.2 m to 275 m and ping rates up to 60 Hz. In contrast, the Water Strider used an interferometric sonar system (BathySwath2) that excels in shallow water surveys and efficient area coverage. However, the multibeam echo sounder provides improved nadir



**Fig. 2:** Sensor configuration. 1: GNSS bow, 2: GNSS stern, 3: LiDAR, 4: camera starboard, 5: camera port, 6: DVL (hidden), 7: MBES (hidden), 8: thruster port, 9: thruster starboard (hidden), 10: antennas

accuracy, making it more suitable for its intended applications.

When utilising the MBES at a depth of 15 m, a speed of 2 kn and an opening angle of up to 160°, the system achieves a mapping capability of up to 170 m<sup>2</sup> per second. Consequently, the Otter platform enhances this capacity covering approximately 0.6 km<sup>2</sup> per hour which represents a slight improvement over the Water Strider, which surveyed an area of 0.5 km<sup>2</sup> per hour.

### Localisation sensor

To facilitate the localisation of sensors, the Otter has been equipped with a dual-antenna GNSS from Trimble and the sonar-integrated Applanix POS MV SurfMaster inertial navigation system (INS/IMU). Furthermore, a client for Ntrip is used in conjunction with the 4G modem.

Although the localisation configuration on the Otter can provide a localisation solution, we use only the unprocessed measurements from the GNSS, including global position and velocity data, and the IMU measurements (including 3D accelerations and 3D angular rates) in our own mapping and sensor fusion algorithms.

In comparison to the Water Strider, the dual-antenna GPS also eliminates the necessity for a solid-state compass, providing global heading data.

### DVL

To enhance the vessel's capabilities, a Waterlinked A125 DVL (Doppler velocity log) was installed. A DVL emits acoustic signals toward the seabed and measures the Doppler frequency shift of the reflections to determine the vehicle's velocity relative to the seabed. Using multiple acoustic beams, it calculates the three-dimensional velocity vector, providing comprehensive navigational data. The A125 is a particularly compact long-range DVL that measures the speed of the Otter relative to the seabed, thereby enhancing navigational accuracy, particularly in GNSS-denied environments. The device is capable of operating at water depths of 5 cm to 125 m, which makes it well-suited to the Otter's shallow water applications. The device is rated for a long-term accuracy of  $\pm 1.01\%$  and a velocity resolution of 0.1 mm/s.

## 4 Software and computing setup

The Otter underwent significant modifications in accordance with the requisite specifications for our intended applications, encompassing alterations to the software and computer configuration.

The system comprises three computers, the on-board system (OBS PC) in the control box from Maritime Robotics, an Intel NUC10i5FNK (Otter ROS PC, ORP) and a FleetPC-4-B car PC (Otter Vision PC). All computers are linked via an Ethernet connection to form a local network, which allows

for time synchronisation and message exchange. The OBS PC serves as the primary hub for sensor data and control. The ORP was reconfigured to utilise the ATB of the Fraunhofer IOSB. The entire ATB is based on the robot operating system (ROS) middleware, where each sensor, e.g. the autopilot, obstacle avoidance and the motor actuators, feature their own ROS node. The Otter Vision PC was installed for the exclusive purpose of processing camera data by the ATB. Both, the ORP and the Otter Vision PC are operated under the Ubuntu 20.04 operating system and ROS noetic. This enables an autopilot functionality for the Otter by path planning, navigation with obstacle detection and avoidance. Further sensor data processing is carried out via the ATB toolbox.

The Otter employs a backseat driver concept which separates the vehicle hardware control from the autonomy functions: The OBS PC (frontseat) exchanges commands via a network-based API with the ORP (backseat). That way, the vehicle is commanded always with correct low-level commands by the OBS PC while the high-level autonomy situation assessment happens on the ORP by the ATB. Issued commands from the ATB are e.g. desired heading and speed. Measured heading, position, and actual speed, are data values delivered to the network by the OBS PC.

### Mission planning

In the context of mission path generation in the ATB, the operator is first required to select the area to be surveyed on the map by drawing a polygon (Fig. 3). Subsequently, the system generates a mission plan containing lawnmower patterns based on the previously marked polygon. Furthermore, the system considers the required safety distances and avoids unnecessary deviations in route. The generation of the path is followed by the creation



**Fig. 3:** Planned path by the ATB based on the defined polygon with detected obstacles (swimmers) in black

of an online collision avoidance plan in the proximity of the pre-planned path, with the objective of circumventing any obstacles (Petereit et al. 2013; Petereit 2017; Emter et al. 2018). In this phase, both static and dynamic obstacles are considered. The optimal path is identified by minimising a cost function. A multi-layered control scheme is employed to guarantee that the vehicle will follow the planned path. The speed is regulated by a proportional-integral (PI) controller, while the direction is controlled by a proportional (P) controller. Further details are found in Zube et al. (2022).

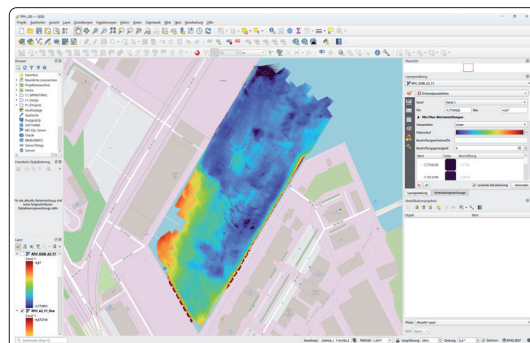
## 5 Data acquisition

The conversion of the entire Otter platform to ROS offers the possibility of recording all sensor and actuator data, including camera images, point clouds derived from LiDAR, data pertaining to the vehicle's localisation, motor speeds and bathymetry data from the sonar into ROSbags. The considerable amount of data generated is stored on an SSD, thus enabling offline evaluation. The sensors that are equipped with ROS drivers are time synchronised. This is guaranteed by the incorporation of pertinent time stamps. Furthermore, it is possible to save the data processed by the algorithms in addition to the sensor data, thereby facilitating a more comprehensive understanding of the processes while developing autonomy functionality. The camera data, which is stored separately on the Otter Vision PC, plays a distinctive role in this context. To achieve time synchronisation between the Otter Vision PC and the Otter ROS PC, chrony is used, which enables the generation of time-coherent time stamps for all the ROS messages. To prevent the recording of unnecessary image data for the purpose of 3D reconstruction via offline photogrammetry, the camera is triggered by an algorithm such that only the previously specified area of interest is visible in the image, and that there is a minimum and maximum amount of overlap between consecutive images with the ground sampling distance (GSD) being maintained.

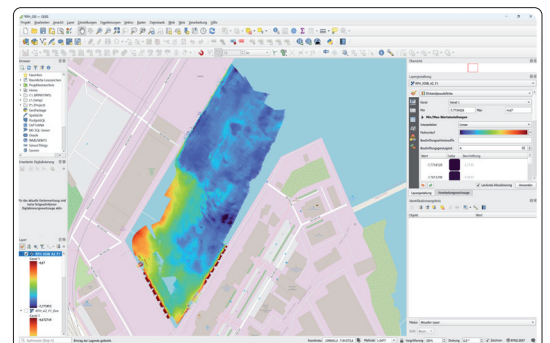
The bathymetry data can be saved and visu-

alised/analysed as point clouds using a ROSbag and Rviz or Foxglove as well as using the Vehicle Control System (VCS) software from Maritime Robotics (Fig. 4 and Fig. 5). In the latter case, the data is stored in the s7k format on a hard drive on the Otter and transferred once the mission has been completed.

The data can be imported in proprietary software like Caris but here we used the open-source software MB-System, developed by the Monterey Bay Aquarium Research Institute (Caress et al. 2008). It is utilised for the post-processing of data obtained from multibeam echo sounders. The software facilitates the processing of raw MBES data and the creation of three-dimensional bathymetric models of the seafloor. The process commences with the data being imported into the MB-System software. Subsequently, the software performs pre-processing, whereby any data errors are corrected or removed, and noise reduction is applied by an algorithmic pre-filtering technique. Following the application of the algorithmic pre-filtering, the data may then be subjected to manual processing, beam-by-beam, utilising the mbedit tool. A variety of filters may be applied to specific beams to enhance data quality. Areas that are visibly distorted or inaccurate, such as the edges of the beams, can be removed, as they may be affected by factors such as the roll angle of the Otter, waves or uneven ground conditions. This removal serves to improve the overall accuracy of the data. Furthermore, a separately recorded water sound profile can be utilised. The mbeditviz tool can then be applied to visualise the sonar recordings in a 2D representation or 3D grid, thus facilitating the development of an understanding of the recorded topology. Additionally, it is possible to visualise selected areas as a point cloud, thereby eliminating any remaining inaccurate measurements or enabling the inspection of specific areas in greater detail. Finally, processing and exporting the grid allows the recorded data to be visualised in GIS software such as QGIS, as illustrated in Fig. 4 and Fig. 5.



**Fig. 4:** Visualisation of the bathymetry data recorded at the »Rostocker Fracht- und Fischereihafen« in QGIS using the Maritime Robotics control software



**Fig. 5:** Visualisation of the bathymetry data recorded at the »Rostocker Fracht- und Fischereihafen« in QGIS using the Autonomy Toolbox of the Fraunhofer IOSB



**Fig. 6:** Slightly overexposed image of Schwerin Castle taken by the Otter



**Fig. 7:** Close-up of Schwerin Castle taken by the Otter

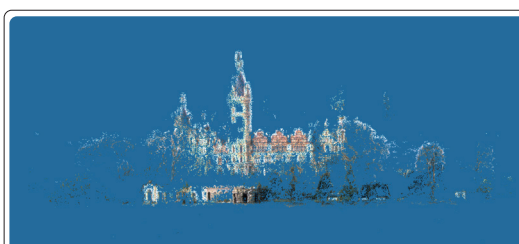
## 6 Data analysis

In addition to the data collected using photogrammetry, this chapter also analyses the bathymetry data recorded by the Otter. The surveys were performed at two designated locations: in front of and in the vicinity of Schwerin Castle in Schwerin's Inner Lake and in Rostock's freight and fishing harbour.

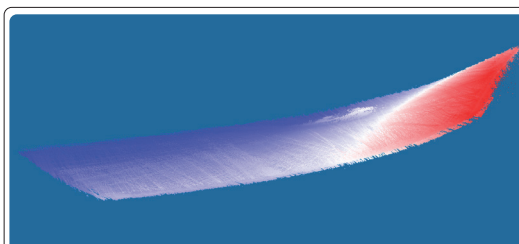
### 6.1 Deployment »Schwerin Inner Lake«

As part of this deployment, high-resolution image data of Schwerin Castle was recorded using the Otter. The images were captured as part of the bathymetric survey of the Inner Lake situated in front of Schwerin Castle. It should be noted that due to the necessity of prioritising the survey of the lake bed, there are occasions when the optimal position for images cannot be attained. The images can thus be considered a by-product of the main survey. The two images presented (Fig. 6 and Fig. 7) demonstrate the diverse viewing angles and distances encountered during the data collection process. In addition, the images show the difficulties posed by fluctuating light conditions and reflections on the water surface. Furthermore, due to the positioning on the water and a rather short survey limited to a certain area in front of Schwerin Castle, not every area of the castle could be captured, resulting in partial gaps in the photogrammetric reconstruction (Fig. 8). Despite these limitations, the photogrammetric model produced a satisfactory result, providing a representation of the captured castle structure.

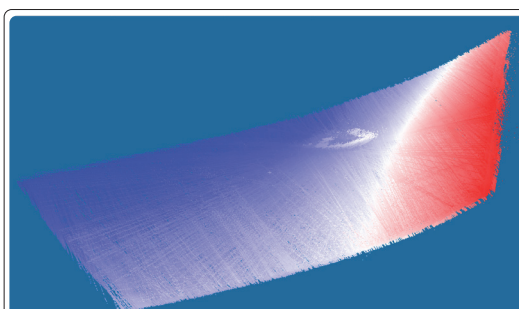
A subsequent survey of the Inner Lake in the vicinity of Schwerin Castle confirmed the discovery of the bow of a historic brick barge situated on the lake bed. Fig. 9 and Fig. 10 represent the outcome of a comprehensive bathymetric survey conducted in close collaboration with the State Office for Culture and Monument Preservation of Mecklenburg Western Pomerania. The location of the wreck was previously only known with a degree of uncertainty, based on observations and video recordings made by an amateur diver who had collected initial visual evidence of the barge during dives (Fig. 11). The



**Fig. 8:** Photogrammetric reconstruction of Schwerin Castle from Otter's camera data



**Fig. 9:** Lateral view of part of the bathymetry of the Schwerin Inner Lake with the protruding bow of a brick barge



**Fig. 10:** Oblique top view of part of the bathymetry of the Schwerin Inner Lake with the protruding bow of a brick barge.



**Fig. 11:** Underwater camera shot of the sunken brick barge

© Photo by Jens-Live Lamm, uw-film.de

bathymetric data obtained during the mission now provides a high-resolution topographical representation of the lake bed, on which the barge can be clearly recognised. Of particular note is the bow of the ship, which is visible in the data as a white-coloured, slightly protruding object. The colour transition from blue to red illustrates the changes in depth of the lake bed, whereby the barge stands out clearly from its surroundings.

## 6.2 Deployment »Rostocker Fracht- und Fischereihafen«

To obtain data from a port scenario like in Iwen et al. (2019), we surveyed the »Rostocker Fracht- und Fischereihafen«. This was done to describe the methodologies and outcomes of two distinct survey operations conducted using the Otter, highlighting the integration of different technological approaches from Maritime Robotics and the Fraunhofer IOSB's ATB.

The Maritime Robotics control software necessitates a manual input of the survey path, often resembling a lawn mower pattern. This process requires the operator to meticulously plan each part of the survey area to ensure complete coverage, which can be both time-consuming and labour-intensive. In contrast, the ATB software from Fraunhofer IOSB introduces an enhanced level of automation and intelligence in planning. By merely drawing a polygon over the target area within the software interface, the system automatically generates an

optimal lawn mower pattern given a certain swath width. This automated path planning not only saves significant time but also reduces the potential for human error in missing critical survey areas.

It should be noted that both methods currently have certain limitations when used in the vicinity of the harbour wall. In the case of the Maritime Robotics software, the distance to the harbour wall is determined beforehand by the operator through the configuration of paths at a specified distance from the aforementioned structure. In contrast, the ATB utilises a different approach whereby the distance to the bounding polygon is automatically determined by the software, which is defined by the operator in the map in relation to the quay edge. The ATB is currently optimised to cover surveys of larger areas. However, as each waypoint uses an individual reach distance, waypoints at the edges could be treated differently in an inspection case and static obstacles could be implemented with a reduced safety distance. Therefore, in the tests shown in Fig. 12 and Fig. 13 the Otter trajectories keep the same safety distance to the quay wall as it does to dynamic obstacles, necessitating the use of manually controlled measurement journeys in short, curved paths, to complete the map near the quay wall.

A crucial distinction between the two systems lies in their operational safety features. The Maritime Robotics software in its used release state does not include obstacle avoidance technology, thus requiring constant monitoring of the ASV to prevent po-

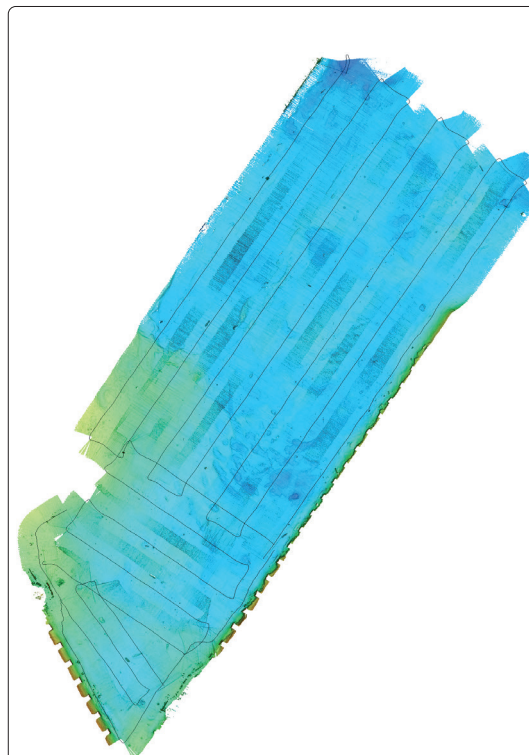


Fig. 12: Visualisation of the bathymetry data recorded at the »Rostocker Fracht- und Fischereihafen« in MB-System using the Maritime Robotics control software

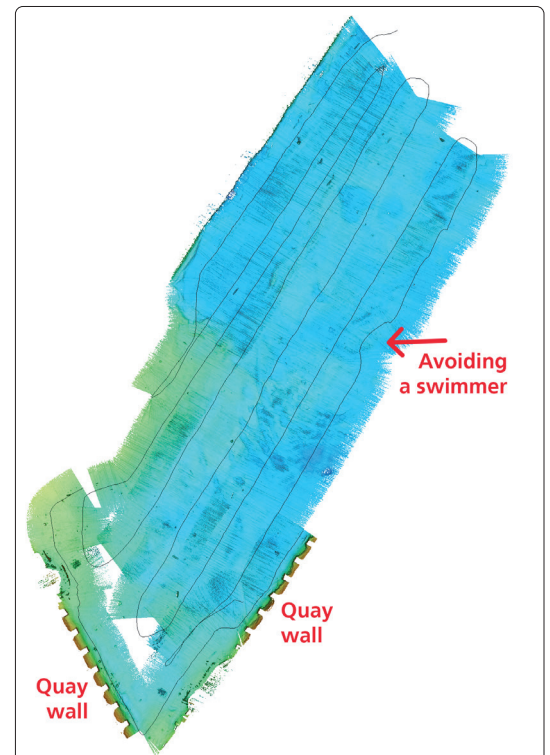
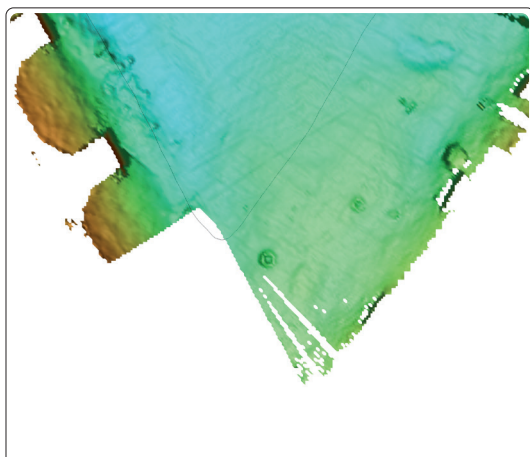
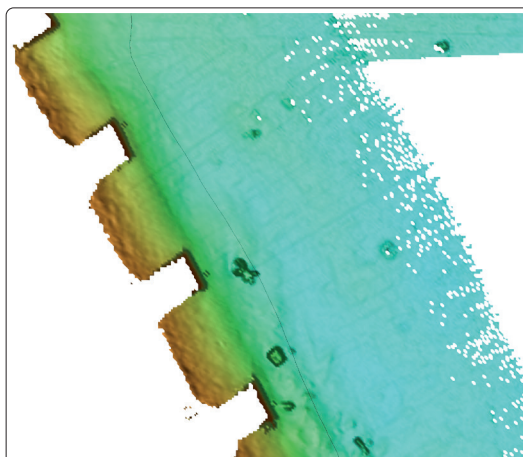


Fig. 13: Visualisation of the bathymetry data recorded at the »Rostocker Fracht- und Fischereihafen« in MB-System using the Autonomy Toolbox of the Fraunhofer IOSB

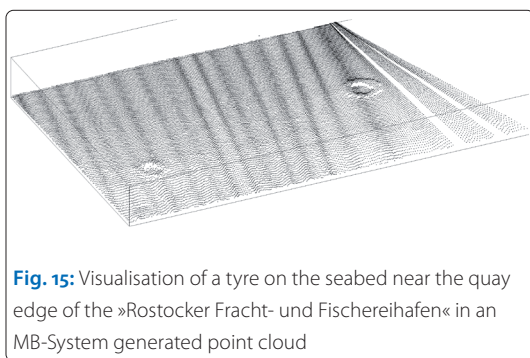




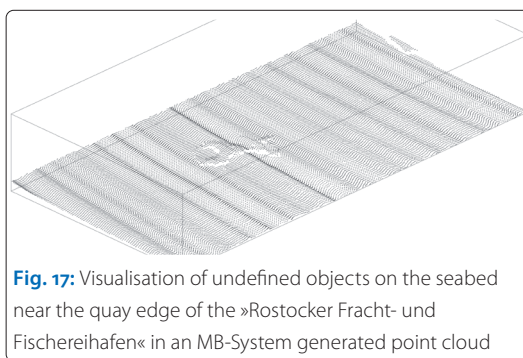
**Fig. 14:** Visualisation of a tyre on the seabed near the quay edge of the »Rostocker Fracht- und Fischereihafen« in an MB-System generated grid



**Fig. 16:** Visualisation of undefined objects on the seabed near the quay edge of the »Rostocker Fracht- und Fischereihafen« in an MB-System generated grid



**Fig. 15:** Visualisation of a tyre on the seabed near the quay edge of the »Rostocker Fracht- und Fischereihafen« in an MB-System generated point cloud



**Fig. 17:** Visualisation of undefined objects on the seabed near the quay edge of the »Rostocker Fracht- und Fischereihafen« in an MB-System generated point cloud

tential collisions. This can be particularly challenging in dynamic environments where unexpected dynamic obstacles or entities, such as swimmers or vessels, may enter the survey area.

The survey conducted with IOSB's ATB demonstrated an improvement in this aspect, as it comes equipped with obstacle avoidance. During the operation, the Otter autonomously altered its path to avoid swimmers, a feature indicated by a red arrow in Fig. 13, enhancing both the safety and reliability of the survey process. The data gaps in Fig. 13 arise because the cornering manoeuvres were discarded due to their inferior quality. In comparison to Fig. 12, these data gaps were not filled in order to demonstrate the superiority of the ATB. With a single run, nearly the same results were achieved that would have otherwise required three runs.

Both surveys provided high-resolution bathymetric data, revealing underwater features such as quay walls, tires and unidentifiable objects on the seabed. The MB-System software, utilised in both methodologies, effectively displayed these features in both grid and point cloud visualisations (Fig. 14 to Fig. 17). The precision of these images is of vital importance for the accurate localisation of objects, thereby facilitating a more informed assessment of the underwater environment and thus contributing to the evaluation of potential

hazard zones in harbour areas. During our deployment, we set the swath of the multibeam echo sounder to 140° and recorded at a survey speed of 1.5 knots. The depth of water surveyed ranged up to 11 metres which required a rather narrow mission line spacing.

## 7 Conclusion and outlook

The analysis of these two deployments of the Otter demonstrates significant advancements in operational methodologies. The automation capabilities of the ATB system notably reduce the manual effort and increases the safety and efficiency of marine surveys. Furthermore, the detailed data captured and visualised through MB-System highlights the potential of autonomous survey techniques to contribute to safer and more effective marine navigation and infrastructure maintenance.

Future developments for the Otter ASV will likely focus on incorporating compliance with the International Regulations for Preventing Collisions at Sea (COLREGs) into its navigation systems to ensure safe operation in congested waters. Relevant for this incorporation are earlier efforts to include a collision avoidance system (CAS) for ASVs that adheres to COLREGs, enhancing autonomous navigation (Sun et al. 2018), the COLREGs compliance

in both single-ship and complex multi-ship scenarios (Chiang et al. 2018) or the control scheme for tugboats in congested ports, indicating potential applications for ASVs (Du et al. 2021). Building on these foundations, future ASVs could integrate advanced algorithms that ensure COLREGs compliance while dynamically adapting to diverse maritime environments, enhancing safety and operational flexibility.

Future improvements to autonomous surface vessels (ASVs) will likely focus on advancing both real-time perception and comprehensive environmental mapping capabilities. One key area of development will be achieving a complete 3D representation of the environment through fully automated integrated post-processing pipelines, combining sonar data with photogrammetry techniques to generate detailed, high-resolution models of underwater and surface structures. This will enhance the vessel's ability to map complex environments accurately and provide a richer dataset for scientific analysis, more efficient mission planning as well as for water authorities. Additionally, efforts will be directed towards improving the real-time detection and recognition of surrounding objects and structures. This will

involve refining algorithms for obstacle mapping and integrating sensor data to produce real-time visualisations, enabling ASVs to dynamically update mission plans and adapt to changing conditions. Real-time display of bathymetric data from a continuously updated buffer will further enhance situational awareness, allowing for more precise navigation and high-level decision-making for remote operators. Together, these advancements will significantly improve the autonomy, safety and operational efficiency of ASVs in diverse and challenging marine environments

We would like to express our gratitude to everyone who contributed to the development of this paper. We acknowledge the State Office for Culture and Monument Preservation of Mecklenburg Western Pomerania, the Mecklenburg Western Pomerania waterway police and the »Rostocker Fracht- und Fischereihafen« for providing assistance, resources and infrastructure necessary for this work. Special thanks are extended to our colleagues from the Autonomous Robotic Systems (ARS) research group and the Smart Ocean Technology (SOT) research group for their invaluable insights, technical support and constructive feedback throughout the research process. //

## References

- Alves Joao; Paulo Oliveira; António Pascoal et al. (2006): Vehicle and Mission Control of the DELFIM Autonomous Surface Craft. 2006 14th Mediterranean Conference on Control and Automation, DOI: 10.1109/MED.2006.328689
- Barbier, Magali; Eric Bensana; Xavier Pucel (2018): A generic and modular architecture for maritime autonomous vehicles. 2018 IEEE/OES Autonomous Underwater Vehicle Workshop (AUV), DOI: 10.1109/AUV.2018.8729765
- Beck, Erin; William Kirkwood; David Caress et al. (2008): SeaWASP: A small waterplane area twin hull autonomous platform for shallow water mapping. 2008 IEEE/OES Autonomous Underwater Vehicles, DOI: 10.1109/AUV.2008.5347598
- Bertram, Samuel; Christopher Kitts; Drew Azevedo et al. (2016): A portable ASV prototype for shallow-water science operations. OCEANS 2016 MTS/IEEE Monterey, DOI: 10.1109/OCEANS.2016.7761403
- Campos, Daniel Filipe; Eduardo P. Gonçalves; Hugo J. Campos et al. (2024): Nautilus: An autonomous surface vehicle with a multilayer software architecture for offshore inspection. Journal of Field Robotics, DOI: 10.1002/rob.22304
- Campos, Daniel Filipe; Aníbal Matos; Andry Maykol Pinto (2019): An Adaptive Velocity Obstacle Avoidance Algorithm for Autonomous Surface Vehicles. 2019 IEEE/RSJ International Conference on Intelligent Robots and Systems (IROS), DOI: 10.1109/IROS40897.2019.8968156
- Campos, Daniel Filipe; Aníbal Matos; Andry Maykol Pinto (2022): Modular Multi-Domain Aware Autonomous Surface Vehicle for Inspection. IEEE Access, DOI: 10.1109/ACCESS.2022.3217504
- Campos, Daniel Filipe; Aníbal Matos; Andry Maykol Pinto (2021): Multi-domain inspection of offshore wind farms using an autonomous surface vehicle. SN Applied Sciences, DOI: 10.1007/s42452-021-04451-5
- Caress, David W.; Dale N. Chayes (2008): MB-System: Open source software for the processing and display of swath mapping sonar data
- Chiang, Hao-Tien Lewis; Lydia Tapia (2018): COLREG-RRT: An RRT-Based COLREGS-Compliant Motion Planner for Surface Vehicle Navigation. IEEE Robotics and Automation Letters, DOI: 10.1109/LRA.2018.2801881
- Clunie, Thomas; Michael DeFilippo; Michael Sacarny; Paul Robinette (2021): Development of a Perception System for an Autonomous Surface Vehicle using Monocular Camera, LIDAR, and Marine RADAR. 2021 IEEE International Conference on Robotics and Automation (ICRA), DOI: 10.1109/ICRA48506.2021.9561275
- da Silva, Mathaus Ferreira; Leonardo de Mello Honório; Murillo Ferreira dos Santos et al. (2021): Project and Control Allocation of a 3 DoF Autonomous Surface Vessel With Aerial Azimuth Propulsion System. IEEE Access, DOI: 10.1109/ACCESS.2020.3048330
- Dalpe, Allisa J.; Alexander E. Cook; May-Win Thein; Martin Renken (2018): A Multi-Layered Approach to Autonomous Surface Vehicle Map-Based Autonomy. OCEANS 2018 MTS/IEEE Charleston, DOI: 10.1109/OCEANS.2018.8604602

- Du, Zhe; Vasso Reppa; Rudy R. Negenborn (2021): MPC-based COLREGS Compliant Collision Avoidance for a Multi-Vessel Ship-Towing System. 2021 European Control Conference (ECC), DOI: 10.23919/ECC54610.2021.9655091
- Dunbabin, Matthew; Alistair Grinham; James Udy (2009): An autonomous surface vehicle for water quality monitoring. Australasian conference on robotics and automation (ACRA), ISBN: 9780980740400
- Emter, Thomas; Janko Peterleit (2018): 3D SLAM With Scan Matching and Factor Graph Optimization. ISR 2018; 50th International Symposium on Robotics, ISBN: 978-3-8007-4699-6
- Ferreira, Hugo; Carlos Almeida; Alfredo Martins et al. (2009): Autonomous bathymetry for risk assessment with ROAZ robotic surface vehicle. OCEANS 2009-EUROPE, DOI: 10.1109/OCEANSE.2009.5278235
- Ferri, Gabriele; Alessandro Manzi; Francesco Fornai (2015): The HydroNet ASV, a Small-Sized Autonomous Catamaran for Real-Time Monitoring of Water Quality: From Design to Missions at Sea. IEEE Journal of Oceanic Engineering, DOI: 10.1109/JOE.2014.2359361
- Fossen, Thor I.; Kristin Y. Pettersen; Roberto Galeazzi (2015): Line-of-sight path following for dubins paths with adaptive sideslip compensation of drift forces. IEEE Transactions on Control Systems Technology, DOI: 10.1109/TCST.2014.2338354
- Iwen, Dominik; Mariusz WĄŻ (2019): Benefits of using ASV MBES surveys in shallow waters and restricted areas. 2019 European Navigation Conference (ENC), DOI: 10.1109/EURONAV.2019.8714128
- Jeong, Min-Gi, Eun-Bang Lee; Moonjin Lee (2018): An Adaptive Route Plan Technique with Risk Contour for Autonomous Navigation of Surface Vehicles. OCEANS 2018 MTS/IEEE Charleston, DOI: 10.1109/OCEANS.2018.8604638
- Jung, Jongdae; Jeonghong Park; Yeongjun Lee et al. (2023): Consistent mapping of marine structures with an autonomous surface vehicle using motion compensation and submap-based filtering. Ocean Engineering, DOI: 10.1016/j.oceaneng.2023.116418
- Jung, Jongdae; Jeonghong Park; Jinwoo Choi; Hyun-Taek Choi (2019): Terrain Based Navigation for an Autonomous Surface Vehicle with a Multibeam Sonar. OCEANS 2019 - Marseille, DOI: 10.1109/OCEANSE.2019.8867221
- Karapetyan, Nare; Jason Moulton; Ioannis Rekleitis (2019): Dynamic Autonomous Surface Vehicle Control and Applications in Environmental Monitoring. OCEANS 2019 MTS/IEEE SEATTLE, DOI: 10.23919/OCEANS40490.2019.8962820
- Kleiser, Dominik; Alexander Albrecht; Thomas Emter et al. (2020): Mapping Shallow Water Environments using a Semi-Autonomous Multi-Sensor Surface Vehicle. Proceedings of the IEEE Oceans Conference 2020; DOI: 10.1109/IEEECONF38699.2020.9389482
- Manley, Justin E. (2008): Unmanned surface vehicles, 15 years of development. OCEANS 2008, DOI: 10.1109/OCEANS.2008.5152052
- Odetti, Angelo; Marco Altosole; Gabriele Bruzzone; Michele Viviani; Massimo Caccia (2019): A new concept of highly modular ASV for extremely shallow water applications. IFAC-PapersOnLine, DOI: 10.1016/j.ifacol.2019.12.304
- Pereira, Maria Inês; Rafael Marques Claro; Pedro Nuno Leite; Andry Maykol Pinto (2021): Advancing Autonomous Surface Vehicles: A 3D Perception System for the Recognition and Assessment of Docking-Based Structures. IEEE Access, DOI: 10.1109/ACCESS.2021.3070694
- Peterleit, Janko (2017): Adaptive State x Time Lattices: A Contribution to Mobile Robot Motion Planning in Unstructured Dynamic Environments. KIT Scientific Publishing, DOI: 10.5445/KSP/1000058693
- Peterleit, Janko, Thomas Emter; Christian W. Frey (2013): Safe mobile robot motion planning for waypoint sequences in a dynamic environment. 2013 IEEE International Conference on Industrial Technology (ICIT), DOI: 10.1109/ICIT.2013.6505669
- Quigley, Morgan; Brian Gerkey; Ken Conley et al. (2009): ROS: an open-source Robot Operating System. ICRA workshop on open source software
- Regina, Bruno A.; Leonardo M. Honório; Antônio A. N. Pancoti et al. (2021): Hull and Aerial Holonomic Propulsion System Design for Optimal Underwater Sensor Positioning in Autonomous Surface Vessels. Sensors, DOI: 10.3390/s21020571
- Schiaretti, Matteo; Linying Chen; Rudy R. Negenborn (2017): Survey on Autonomous Surface Vessels: Part II – Categorization of 60 Prototypes and Future Applications. Computational Logistics, DOI: 10.1007/978-3-319-68496-3\_16
- Stanghellini, Giuseppe; Fabrizio Del Bianco; Luca Gasperini (2020): OpenSWAP, an Open Architecture, Low Cost Class of Autonomous Surface Vehicles for Geophysical Surveys in the Shallow Water Environment. Remote Sensing, DOI: 10.3390/rs12162575
- Subramanian, Anbumani; Xiaojin Gong; Jamie N. Riggins et al. (2006): Shoreline Mapping using an Omni-directional Camera for Autonomous Surface Vehicle Applications. OCEANS 2006, DOI: 10.1109/OCEANS.2006.306906
- Sun, Xiaojie; Guofeng Wang; Yunsheng Fan et al. (2018): Collision Avoidance of Podded Propulsion Unmanned Surface Vehicle With COLREGs Compliance and Its Modeling and Identification. IEEE Access, DOI: 10.1109/ACCESS.2018.2871725
- van Cappelle, Laurien E.; Linying Chen; Rudy R. Negenborn (2018): Survey on Short-Term Technology Developments and Readiness Levels for Autonomous Shipping. Computational Logistics, DOI: 10.1007/978-3-030-00898-7\_7
- Yang, Wen-Rong; Cing-Ying Chen; Chao-Min Hsu et al. (2011): Multifunctional Inshore Survey Platform with Unmanned Surface Vehicles. International Journal of Automation and Smart Technology, DOI: 10.5875/ausmt.v1i2.122
- Zube, Angelika; Dominik Kleiser; Alexander Albrecht et al. (2022): Autonomously mapping shallow water environments under and above the water surface. at – Automatisierungstechnik, DOI: 10.1515/auto-2021-0145